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**PARTLY ORTHOGONAL MULTIPLE CODE TREES**

**BACKGROUND OF THE PRESENT INVENTION**

**Field of the Invention**

The present invention relates generally to code division multiaccess telecommunications systems and in particular to  
5 partly orthogonal multiple code trees.

**Background of the Present Invention**

In a Wideband Code Division Multiple Access (WCDMA) system, a user signal is spread with a wide frequency  
10 bandwidth by the use of an individual code and is transmitted in a common frequency band. The receiver detects a desired signal by a despreading process from the WCDMA signal and the

individual code. The spreading codes used for a WCDMA system are chosen to have a relatively low cross-correlation between any two sequences in the set. The system is able to distinguish between different users, regardless if the users 5 have a unique code that is orthogonal or non-orthogonal to the other codes. In the non-orthogonal case, correlating the received signal with a code signal from a certain user will then only despread the signal of this user, while the other spread-spectrum signals will remain spread over a large 10 bandwidth. However, the orthogonal case differs in that the other spread-spectrum signals are canceled. Thus, within the information bandwidth the power of the desired user will be larger than the interfering power provided there are not too many interferers, and the desired signal can be extracted. 15 However, interference occurs in the system due to this cross correlation among the spreading codes assigned to users. Unlike other multiple access wireless communication methods, code division multiple access interference is mainly from users within the same cell, rather than users in other cells. 20 CDMA-based systems have a soft capacity, meaning that there is no "hard" limit to the number of users in the

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network, as in a TDMA system. However, there are two main limiting factors to the resources in a WCDMA downlink, which are the transmission power and the channelization codes. It is desirable for the channelization codes to be orthogonal,  
5 thereby effectively suppressing the interference between the users and increasing the capacity. Furthermore, the channelization codes, which are organized in a code tree, ensure that the downlink channels transmitted in a cell are orthogonal, thus maintaining minimum interference and  
10 increasing the capacity of the system. However, the orthogonalized set of channelization codes in a code tree is limited. Thus, multiple code trees distinguish among themselves by their scrambling codes, which are pseudo-noise sequences. In the WCDMA downlink, a spreading sequence is  
15 generated by combining a scrambling sequence and a channelization sequence. The channelization sequence consists of a short channel code that is repeated many times. Some commonly used channelization codes are the Orthogonal Variable Spreading Factor (OVSF) codes. These OVSF codes  
20 preserve the orthogonality between different physical channels. The scrambling codes are complex valued codes used

with the channelization codes to scramble the downlink physical channel.

So, in each cell, there is at least one "primary" code tree and possibly "secondary" code trees, corresponding to 5 "primary" and "secondary" scrambling codes for each code tree, respectively. The "secondary" scrambling codes are created in the same way as the "primary" scrambling codes, except having different seeds.

The problem with multiple code trees is that codes of 10 different code trees are non-orthogonal, causing more interference than desired. This becomes really a problem since a single code tree will be a limiting factor. As understood by those skilled in the art, there are some common channels that have to be transmitted in the entire cell, and 15 these belong, most likely, to one of the code trees, corresponding most likely to the "primary" scrambling codes. These common channels interfere heavily with the user dedicated channels that use other code trees. Moreover, these common channels are transmitted with high power since 20 they have to be detected in the entire cell, hence increasing the interference. Hence, it would be desirable for all user

dedicated channels to be orthogonal to these "high power" common channels, even those channels that don't fit in the "primary" code tree. What is needed is to overcome the limitation of a single code tree by creating and using 5 multiple code trees that are at least partly orthogonal to each other to reduce/remove interference.

**SUMMARY OF THE INVENTION**

The present invention describes a method, system and 10 apparatus for spreading physical channels using partly orthogonal multiple code trees. A portion of a first code tree is used to spread a portion of the physical channels. This first code tree is a combination of a channelization code sequence and a first scrambling code sequence. A portion 15 of a second code tree is used to spread the physical channels that are remaining and were not spread using the first code tree. This second code tree is a combination of the channelization code sequence and a second scrambling code formed by modifying the first scrambling code. The portion 20 of the second code tree used to spread the channels is orthogonal to the portion of the first code tree used. A

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plurality of other code trees could be formed using scrambling codes based on the modification of the first scrambling code.

5       **BRIEF DESCRIPTION OF THE DRAWINGS**

A more complete understanding of the method and apparatus of the present invention may be obtained by reference to the following Detailed Description when taken in conjunction with the accompanying Drawings wherein:

10       FIGURE 1 illustrates a cell architecture in a mobile telecommunication system;

FIGURE 2 illustrates the spreading of a downlink signal in WCDMA system;

FIGURE 3 illustrates the OVSF channelization codes used;

15       FIGURE 4 illustrates a "primary" scrambling code generator;

FIGURE 5 illustrates generating a "secondary" scrambling code using a "tilt" sequence, according to a preferred embodiment of the present invention;

20       FIGURE 6A illustrates a basic and conventional channelization sequence configuration wherein all 32

channelization sequences of spreading factor 32 are used with a "primary" scrambling sequence;

FIGURE 6B illustrates a "primary" code tree into which the conventional channelization sequences are organized,  
5 according to the configuration of FIGURE 6A;

FIGURE 7A illustrates a conventional channelization sequence configuration wherein a "secondary" scrambling sequence is introduced;

FIGURE 7B illustrates a "primary" and "secondary" code  
10 trees, each occupied by 32 data sequences, into which the conventional channelization sequences are organized,  
according to the configuration of FIGURE 7A;

FIGURE 8A illustrates an exemplary channelization sequence configuration wherein a "primary" scrambling code  
15 is used in combination with "tilt" sequences that operate on symbol pairs, according to a preferred embodiment of the principles of the present invention;

FIGURE 8B illustrates an exemplary "untilted" code tree coupled with two "tilted" code trees in which only the lower  
20 halves of the "tilted" code trees are occupied by the

channelization sequences, according to the configuration of FIGURE 8A; and

FIGURE 9 illustrates an exemplary channelization sequence configuration wherein differently "tilted" sequences 5 are used on different antennas, according to a preferred embodiment of the present invention.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The numerous innovative teachings of the present 10 application will be described with particular reference to the presently preferred exemplary embodiments. However, it should be understood that this class of embodiments provides only a few examples of the many advantageous uses of the innovative teachings herein. In general, statements made in 15 the specification of the present application do not necessarily delimit any of the various claimed inventions. Moreover, some statements may apply to some inventive features but not to others.

The present invention solves the limitation problem of 20 the channelization codes by using multiple code trees. These multiple code trees each structured in a way to minimize the

interference in the system. "Secondary" scrambling codes are created using the same seed as the first scrambling codes but further scrambled in a special way as described with reference to the preferred embodiments mentioned thereafter.

5 Referring to FIGURE 1, there is illustrated a cell architecture 100 in a mobile telecommunications system. There exists a plurality of antennas 115 which represent individual cells 120. Each antenna transmits signals to the users within its corresponding cell 120. Each cell uses a 10 "primary" code tree in the entire cell. This "primary" code tree is associated with a "primary" scrambling code. The "primary" code tree codes are orthogonal to each other, in order to provide a very low cross-correlation between the codes and avoid interference. When all the codes in the 15 "primary" code tree are used, meaning that the "primary" code tree reaches its limit, a "secondary" code tree is used which is associated with a "secondary" scrambling code. These "secondary" scrambling codes are generated by modifying the "primary" scrambling codes using a "tilt" sequence, as 20 described hereinafter with reference to FIGURE 5.

Referring to FIGURE 2, there is illustrated the spreading 200 of a downlink physical channel in a preferred embodiment of the present invention. Each downlink physical channel 210 is converted from a serial to a parallel 215 signal. The parallel signals are mapped on an Inphase (I) and Quadrature (Q) branches. Both branches are spread (220/225) to the chip rate by a real-valued channelization code (C) 230. The Q branch is converted to a complex value, by multiplying 235 that branch by a complex unit value (j).  
10 Both branches I and Q are combined 240 and treated as a single complex valued sequence of chips. This single complex valued sequence of chips is scrambled 245 by a complex valued scrambling code (S). This is done by complex chip-wise multiplication 245. This spreading sequence is done for each  
15 channel individually using different channelization and scrambling codes. Each spread sequence for each downlink physical channel is separately weighted 250 by a weighting factor (G) and all the sequences are added together (255,260) to be modulated in a modulator 290.

20 Referring to FIGURE 3, there is illustrated the code tree 300 of the Orthogonal Variable Spreading Factor (OVSF)

channelization codes used in a preferred embodiment of the present invention. The code trees are separated into two halves, a lower half 350 and an upper half 310. The upper half 310 of the code tree are those codes in which every bit pair are the same, e.g., either '00' or '11'. On the other hand, the lower half 350 contains those codes where every bit pair is different, e.g., either '01' or '10'. It follows that every code in the upper half 310 is orthogonal to every code in the lower half 350. As is well understood in the art, two codes are said to be orthogonal when their inner product is zero. More specifically, the inner product, in the case of codes with element values +1 and -1, is the sum of all the terms we get by multiplying two codes, element by element. For example, (1,1,1,1) and (1,1,-1,-1) are orthogonal:  $(1*1) + (1*1) + [1*(-1)] + [1*(-1)] = 0$ . Even after applying a scrambling code sequence to the channelization codes for a code tree, orthogonality still holds between both halves of the code tree.

When a "primary" code tree is used in a cell, the lower half 350 is orthogonal with the upper half 310 of that "primary" code tree. Moreover, a "secondary" code tree needs

to also be orthogonal to the "primary" code tree. However, only one of the halves is orthogonal to the opposing half of the "primary" code tree. In other words, using the "secondary" scrambling code of a preferred embodiment of the 5 present invention, the lower half 350 of the "primary" code tree will be orthogonal to the upper half 310 of the "secondary" code tree. Also, the upper half 310 of the "primary" code tree is orthogonal to the lower half 350 of the "secondary" code tree. This also holds among all 10 "secondary" code trees, generated according to a preferred embodiment of the present invention described hereafter with reference to FIGURE 5, and the "primary" code tree.

According to a preferred embodiment of the present invention, the "primary" scrambling code is generated using 15 two linear feedback shift registers 400, as illustrated in FIGURE 4. The scrambling code sequences 460 are constructed by combining 450 two real-valued sequences (435, 442) into a complex sequence 460. Each of the two real-valued sequences is generated as a modulo 2 sum of selective chips of the 20 shift register (410/420) having a polynomial of degree 18. This generated complex valued "primary" scrambling code 460

is associated with the "primary" code tree. On each cell of the network, shown in FIGURE 1, one and only one "primary" scrambling code is assigned. The high power transmitted channels, such as the Common control physical channel 5 (CCPCH), the common pilot channel (CPICH) and the Broadcast control channel (BCH) are always transmitted using the "primary" scrambling code. In a preferred embodiment, only the upper half 310 of the "primary" code tree is used to transmit those common control high power channels. The other 10 downlink physical channels are transmitted with either the "primary" scrambling code associated with the lower half 350 of the "primary" code tree or the "secondary" scrambling code associated with the lower half 350 of the "secondary" code tree. The generation of the "secondary" scrambling code is 15 illustrated, hereafter, with reference to FIGURE 5.

Referring to FIGURE 5, there is illustrated the "secondary" scrambling codes generated using the "primary" scrambling codes 515 and a "tilt" sequence 525. The "primary" scrambling sequence 515 is the code generated using 20 the "primary" scrambling code generator 510 discussed in FIGURE 4. This "primary" scrambling sequence 515 is

multiplied 530 with a "tilt" sequence 525. The "tilt" sequence 525 consists of "+1" and "-1" which are generated on half the rate of the "primary" scrambling sequence. Meaning, that for every two complex bits in the "primary" 5 scrambling sequence 515 a single bit is generated for the "tilt" sequence 525. In a preferred embodiment, the "tilt" sequence 525 is generated with half the rate of the scrambling sequence, generating -1's and +1's according to a pseudo-random generation sequence 520. So, every two 10 complex bits of the "primary" scrambling sequence are multiplied by one bit of the "tilt" sequence 525 extended in the period of the two complex bits. As an illustration of this, an example is provided herein;

15	Primary scrambling seq.	+1-i	+1+i	-1-i	+1-i	-1+i	-1-i	+1+i	+1+i	-1-i	+1+i	+1-i	-1+i
	Tilt seq.	-1		+1		-1		+1		-1		+1	
20	Secondary scrambling seq.	-1+i	-1-i	-1-i	+1-i	+1-i	+1+i	+1+i	+1+i	+1+i	-1-i	+1-i	-1+i

In general, a "tilt" sequence 525 could be any sequence, e.g., a pseudo-random generated sequence or a fixed predetermined sequence, that contains +1's and -1's that when

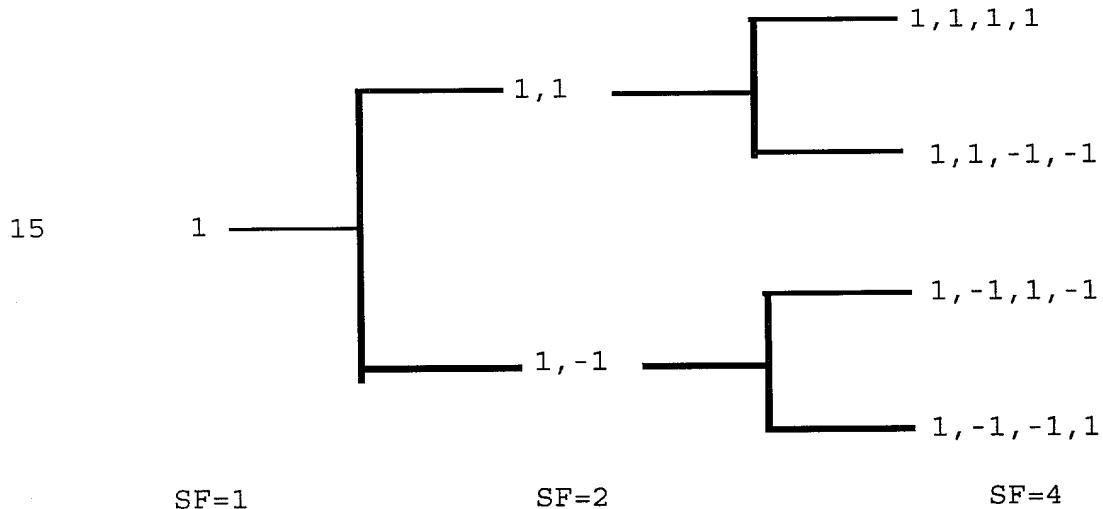
multiplied with the "primary" scrambling code sequence will generate a "secondary" scrambling code sequence. When the "tilt" sequence bit is "+1" and is multiplied by the "primary" scrambling sequence complex pair of bits 5 corresponding, in time, to the +1 bit, the resulting "secondary" scrambling complex bit pair, is the same as the "primary" scrambling complex bit pair. However, when the "tilt" sequence bit is "-1" and is multiplied by the "primary" scrambling sequence complex bit pair, the resulting 10 "secondary" scrambling complex bit pair, is the invert of the "primary" scrambling complex bit pair. This is illustrated in the previous example.

The "secondary" scrambling sequence generated by the above-mentioned method has some characteristic features. The 15 "secondary" scrambling sequence 535 is combined with the channelization codes generating the "secondary" code tree, whose lower half 350 is orthogonal to the upper half 310 of the "primary" code tree. Moreover, any "secondary" code tree generated using a "secondary" scrambling sequence 535, as in 20 the present invention, has its lower half 350 orthogonal to the upper half 310 of the "primary" code tree. This feature

is very significant, allowing the use of several "secondary" code trees when the channelization codes run out for the "primary" code tree. This creates almost no interference between high power transmitted control channels utilizing the 5 "primary" code tree's upper half and the dedicated channels utilizing both the "primary" and the "secondary" code trees' lower half.

Referring to FIGURE 6A, there is illustrated a basic and conventional channelization sequencing configuration 600 10 wherein all 32 channelization sequences, collectively 610A, of a spreading factor (SF) 32 are used with a "primary" scrambling sequence 615A. This gives a total of 32 orthogonal data channels 620A, which is the maximum that can be achieved without introducing some kind of non- 15 orthogonality. However, when a single scrambling code 615A is used, shortage of channelization sequences may occur. In this particular case, the entire code tree 610B is occupied by the 32 data sequences, collectively 620A, that all use a spreading factor of 32 615B as described hereinafter with 20 reference to FIGURE 6B.

Referring to FIGURE 6B, there is illustrated the code tree 610B into which the conventional channelization sequences 610A are organized, indicating that sequences 1-16 (collectively 620B) have the property that each symbol pair is "even", while sequences 17-32 (collectively 625B) have the property that each symbol pair is "odd". As is well known in the art, different spreading factors means different code lengths. Furthermore, as illustrated in the following example, codes or codewords with different spreading factors can be combined to form a code tree using the OVSF Channelization codes described in FIGURE 3.



20

Each stage of the tree has a different Spreading Factor SF. For each Spreading Factor SF, there is an equal number of possible codes. The codes on this particular tree are obtained as follows:

- 5 (1) The first element in the tree is 1.
- (2) For each element, there are two possible sub-elements, that we will denote as:
  - A. The "Top" sub-element
  - B. The "Bottom" sub-element
- 10 (3) The Top sub-element is constructed by repeating the root of that sub-element twice. So that the Top sub-element of (1) would be (1, 1).
- 15 (4) The Bottom sub-element is constructed by concatenating the root of that sub-element with the inverse of itself. Thus, the Bottom sub-element of (1) would be (1, -1).
- 20 (5) At each level, all the Walsh-Hadamard codewords are given by the rows of the corresponding Hadamard matrix with the elements mapped to polar form so we can use real numbers arithmetic when computing the correlations. Using a tree structure allows better visualization of the relation between

different code lengths and orthogonality between them. Walsh-Hadamard codes are important because they form the basis for orthogonal codes with different spreading factors. This property becomes useful when we want signals with 5 different Spreading Factors to share the same frequency channel.

Referring to FIGURE 7A, there is illustrated a conventional channelization sequencing configuration example 700 of how the code limitation (i.e., the channelization sequence shortage) can be circumvented by introducing a "secondary" scrambling sequence 710A to be used together with the "primary" scrambling sequence 715A. The same 32 channelization sequences, collectively 720A, can be re-used, resulting in another set of 32 data channels, collectively 15 725A (denoted 33-64). Within each scrambling sequence (710A, 715A), all channelization sequences (720A, 730A) are orthogonal to each other. However, the sequences generated by different scrambling codes are non-orthogonal. For example, the corresponding generated sequences 730A will be 20 orthogonal to each other, but not to the original 32 sequences 720A, leading to higher interference at the

receivers. Thus, sequences 1-32 (720A) are non-orthogonal to all sequences 33-64 (730A), and vice versa.

Referring to FIGURE 7B, there is illustrated a "primary" code tree 710B and a "secondary" code tree 725B. Each tree 5 is occupied by 32 data sequences (725A, 735A), into which the conventional channelization sequences (720A, 730A) are organized. The entire "primary" code tree 710B is occupied by the original 32 data sequences 735A and the entire "secondary" code tree 725B is occupied by the generated data 10 sequences 725A. For example, in the "primary" code tree 710B, channelization sequence numbers 1-16 (715B) occupy the upper half of the code tree 710B, while channelization sequence numbers 17-32 (720B) occupy the lower half of the code tree 710B. Moreover, channelization sequence numbers 15 33-48 (730B) occupy the upper half of the "secondary" code tree 725B, while channelization sequence numbers 49-64 (735B) occupy the lower half of the code tree 725B.

Referring to FIGURE 8A, there is illustrated a channelization sequencing configuration example 800 of how 20 the principles of the present invention could be implemented in the same case (i.e., solving the channelization sequence

shortage), and possibly reducing the aforementioned resultant interference. As is well known in the art, data transmitted in a CDMA system starts with a standard data rate or full rate. This initial data is then spread with an orthogonal 5 Walsh code at a selected bit rate or chip rate, split into the Inphase (I) and Quadrature (Q) branches, and prior to baseband filtering, spread with long Pseudo-Noise (PN) sequences at the selected chip rate. According to the principles of the present invention, the spreading applies 10 a spreading sequence, using channelization codes (825A, 870A, 875A), a primary scrambling code (810A), and possibly "tilt" sequences (815A, 820A), to the data sequences (830A, 835A, 840A, 845A), which increases the data rate while adding redundancy to the system. The data sequences 15 (830A, 835A, 840A, 845A) are transmitted using a form of Quadrature Phase Shift Keying (QPSK) modulation, discussed in FIGURE 2, which has been filtered to limit the bandwidth of the signal. This is added to the signal of all the other users in that cell. When the signal is received, the coding 20 is removed from the desired signal, returning it to the standard data rate. The ratio of transmitted bits or chips

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to data bits is the spreading factor (850A, 855A, 860A, 865A). Thus, it is understood that the standard data rate is smaller than the sum of the rates of all the data sequences (830A, 835A, 840A, 845A).

5        In a preferred embodiment of the present invention, instead of using secondary scrambling codes, the original scrambling code 810A is modified using "tilt" sequences that operate on symbol pairs. Two "tilt" sequences (815A, 820A) are used in the example (denoted  $\alpha$  and  $\beta$ ), and only the lower 10 half of the code tree 810B is used with the "tilt" sequences (i.e., channelization sequences 17-32, collectively 825A), as described hereinafter in FIGURE 8B. Because of this, data sequences 17-32 (collectively 830A) and the resulting data sequences 33-48 (collectively 835A) and 49-64 (collectively 15 845A) will be orthogonal to the data sequences 1-16 (collectively 840A). However, sequences 830A are not orthogonal to sequences 835A or 845A and vice versa. Nevertheless, all sequences 830A are orthogonal to each other, while the same remains true for 835A and 845A.

20       Referring to FIGURE 8B, there is illustrated an "untilted" code tree 810B and code trees (815B, 820B) with

"tilted" sequences. As previously discussed, orthogonality is kept between data sequences (1-16), collectively 825B, on the upper half of the "untilted" code tree 810B and data sequences (33-48 and 49-64), 830B and 835B, on the lower halves of the "tilted" code trees (815B, 820B). However, differently "tilted" sequences on the same halves on the code tree are not orthogonal to each other (e.g., data sequences 17-32 are not orthogonal to data sequences 33-48).

The principles of the present invention are particularly useful if the gain factors g1-g16 (collectively 850A) for the data sequences 1-16 (or a few of them) are large compared to the other gain factors g17-g64 (855A, 860A, 865A). This could be the case with some control channels that have to be receivable from the whole cell. With the prior art shown in FIGURE 7B, the sequences 1-16 (715B) would then interfere severely with the reception of the sequences 33-64 (730B, 735B), since they are not orthogonal to each other. In FIGURE 8B, on the other hand, sequences 1-16 (825B) are orthogonal to all other sequences 33-64 (830B, 835B), so a strong gain on them would effectively be suppressed in the receiver by virtue of this orthogonality.

Referring to FIGURE 9, there is illustrated a channelization sequencing configuration example 900 of how the principles of the present invention are useful in relation to multiple antennas or antenna beams. In such a 5 case, not all sequences would be added together as shown in FIGURE 9. We can assume, instead, that the sequences 17-32 (910) are to be transmitted through one antenna (or beam) 915, sequences 33-48 (920) on a second antenna (or beam) 925, and sequences 49-64 (930) on a third antenna (or beam) 935. 10 We can also assume that the sequences 1-16 (940) have to be transmitted on all the antennas or beams (915, 925, 935), which could be the case if they are control channels that have to be receivable in the whole cell. Under these assumptions, the use of "tilt" sequences, discussed in FIGURES 8A and 8B, 15 is beneficial, assuming that the sequences are summed and connected to the antennas (or beams) in the way shown in FIGURE 9. By using differently "tilted" sequences on different antennas (or antenna beams), the interference caused by the non-orthogonality between differently "tilted" 20 sequences is reduced. Moreover, all sequences that are transmitted through the same antenna are orthogonal, while

the interference caused by non-orthogonality between sequences with different "tilts" is suppressed by the antenna configuration. The benefit compared to using secondary scrambling codes is that some sequences (in the example 1-16) 5 may be transmitted on all antennas while keeping orthogonality. This would not be possible with secondary scrambling codes.

Yet another case when the principles of the present invention are useful is if many sequences are transmitted 10 only part of the time (e.g., due to bursty packet data transfer) while a few sequences are transmitted more or less continuously. The continuously transmitted sequences would then use the upper half of the code tree with the "untilted" scrambling sequence, while the more bursty sequences would 15 use the lower half of the code tree with "tilt" sequences. In this manner, the bursty sequences would not interfere with the continuous sequences, and vice versa, while the non-orthogonality between some of the bursty sequences (those that use different "tilt" sequences) could be less 20 troublesome because of their low duty cycle. It should be understood that such a scheme could be further improved by

scheduling techniques, where the active periods are scheduled so that most (or all) sequences that are transmitted simultaneously actually use the same "tilt" sequence, thereby reducing the interference further.

5        It should be understood that the embodiments discussed hereinabove refer particularly to downlink physical channels, however, they could be applied to both uplink and downlink channels. Moreover, the present invention could be applied to any CDMA-based system, or even any system where spreading 10 of a signal or a channel is used.

As will be recognized by those skilled in the art, the innovative concepts described in the present application can be modified and varied over a wide range of applications. Accordingly, the scope of patented subject matter should not 15 be limited to any of the specific exemplary teachings discussed, but is instead defined by the following claims.